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# NASA Procedural Requirements

**NPR 4000.1**

Effective Date: April 09, 2010

Expiration Date: April 09,  
2020**COMPLIANCE IS MANDATORY**[Printable Format \(PDF\)](#)

Request Notification of Change (NASA Only)

## **Subject: NASA Logistics Management Operations Compensating Controls Reviews, (Revalidated with Change 1)**

**Responsible Office: Logistics Management Division**[| TOC](#) | [Preface](#) | [Chapter1](#) | [Chapter2](#) | [Chapter3](#) | [AppendixA](#) | [AppendixB](#) | [AppendixC](#) | [ALL](#) |

## **Preface**

### **P.1 Purpose**

a. This NASA Procedural Requirement (NPR) 4000, NASA Logistics Management Operations Compensating Controls Reviews, supports the policy outlined in NASA Policy Directive (NPD) 1210.2, NASA Surveys, Audits, and Reviews Policy. It provides specific guidance regarding the requirements for conducting NASA Headquarters (HQ) Logistics Management Division (LMD) Compensating Controls Reviews (CCRs). These CCRs are internal examinations that are performed by HQ and Center personnel who ensure regulatory and policy compliance, as well as application of standards for NASA Logistics Management Operations (LMO). This directive supports the policy outlined in NASA Policy Directive (NPD) 1210.2. It provides the requirements for conducting NASA Headquarters (HQ) Logistics Management Division (LMD) Compensating Controls Reviews (CCRs). These internal examinations are performed by HQ and Center personnel, who ensure regulatory and policy compliance, as well as application of standards for NASA Logistics Management Operations (LMO).

b. Compensating Controls Reviews assess the performance in the areas of LMO consisting of supply, equipment, disposal, storage/warehousing, contract property management, mail management, transportation, and fleet management, as well as compliance with established laws, regulations, policies, and requirements. NASA CCRs are intended to assess a Center's LMO programs by identifying potential strengths and weaknesses and providing specialized technical or management support to ensure that strengths are leveraged and that weaknesses are remedied. Center strengths that the CCR Team identifies as logistics management best practices will be shared for potential Agency-wide implementation.

### **P.2 Applicability**

a. This NPR is applicable to NASA HQ and NASA Centers, including Component Facilities and Technical and Service Support Centers.

b. This NPR applies to the Jet Propulsion Laboratory (JPL), a Federally Funded Research and Development Center (FFRDC), other contractors, grant recipients, or parties to agreements only to the extent specified or referenced in the appropriate contracts, grants, or agreements.

c. This NPR applies to all components of LMO including government personal property and transportation managed and used by NASA HQ, NASA Space Centers, Research Centers, Laboratories, and Test Facilities.

d. A requirement in this NPR is identified by "shall," a good practice by "should," permission by "may" or "can," expected outcome or action by "will," and descriptive material by "is" or "are" (or another verb form of "to be").

e. In this directive, all document citations are assumed to be the latest version unless otherwise noted.

### **P.3 Authority**

- a. The National Aeronautics and Space Act of 1958, as amended, 51 U.S.C. § 20113(a).
- b. NPD 1210.2, NASA Surveys, Audits, and Reviews Policy.

## **P.4 Applicable Documents and Forms**

- a. The Stevenson-Wydler Technology Innovation Act of 1980 as amended, 15 U.S.C. 3710 et seq., section 303 of Pub. L. 102-245 (February 14, 1992).
- b. NPR 1441.1, NASA's Records Retention Schedule.

## **P.5 Measurement/Verification**

The LMD CCR process is a formal assessment of how well an LMO function performs its mission and the quality of the logistics organization, staffing, and management controls. The review identifies significant management indicators and benchmarks that highlight potential successes and weaknesses. The CCR program evaluates and monitors the LMO to ensure sufficient checks and balances are in place to ensure adherence to Agency policy.

## **P.6 Cancellation**

NPR 4000.1, NASA Logistics Management Operations Compensating Controls Reviews, dated April 9, 2010.

## **REVALIDATED WITH CHANGE 1, February 9, 2015, ORIGINAL SIGNED BY:**

/S/  
Thomas Luedtke  
Assistant Administrator, Office of Institutions and Management

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