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# NASA Procedural Requirements

**COMPLIANCE IS MANDATORY**

**NPR 8705.6B**  
Effective Date: May 24, 2011  
Expiration Date: November  
24, 2016

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## **Subject: Safety and Mission Assurance (SMA) Audits, Reviews, and Assessments**

**Responsible Office: Office of Safety and Mission Assurance**

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## **Preface**

### **P.1 Purpose**

This NASA Procedural Requirements (NPR) document establishes requirements for conducting audits, reviews, and assessments to verify compliance with applicable Federal, State, and local safety and health statutes and regulations and NASA Safety and Mission Assurance (SMA) requirements as required by NASA Policy Directive (NPD) 1000.3, The NASA Organization and NPD 8700.1, NASA Policy for Safety and Mission Success in accordance with NPD 1210.2, NASA Surveys, Audits, and Reviews Policy.

### **P.2 Applicability**

P.2.1 This NPR is applicable to NASA Headquarters and NASA Centers, including Component Facilities and Technical and Service Support Centers.

P.2.2 This NPR applies to any NASA program, project, institution, operation, or facility that is responsible for the application or implementation of SMA requirements.

P.2.3 This NPR applies to the Jet Propulsion Laboratory (JPL), a Federally Funded Research and Development Center (FFRDC), to other contractors, and national or international partners to the extent specified or referenced in applicable contracts, grants, or agreements.

P.2.4 In this NPR "shall" denotes a mandatory requirement, "may" or "can" denotes a discretionary privilege or permission, "should" denotes a good practice, and "will" denotes an expected outcome.

P.2.5 Unless otherwise indicated, definitions of all words and terms used in this NPR are per NASA-STD-8709.22, Safety and Mission Assurance Acronyms, Abbreviations, and Definitions.

### **P.3 Authority**

a. Basic Program Elements for Federal Employee Occupational Safety and Health Programs and Related Matters, 29 C.F.R. pt. 1960.

b. NPD 1000.3, The NASA Organization.

c. NPD 1210.2, NASA Surveys, Audits, and Reviews Policy.

d. NPD 8700.1, NASA Policy for Safety and Mission Success.

#### **P.4 APPLICABLE DOCUMENTS**

a. NPD 1200.1, NASA Internal Control.

b. NPD 1440.6, NASA Records Management.

c. NASA-STD-8709.22, Safety and Mission Assurance Acronyms, Abbreviations, and Definitions

d. NASA Safety and Mission Assurance Requirements Documents (NPDs, NPRs, and NASA Standards) posted on the SMA Requirements Tree, <http://www.hq.nasa.gov/office/codeq/doctree/doctree.htm>.

## P.5 Measurements/Verification

This NPR is used to determine compliance with applicable Federal, State, and local safety and health statutes and regulations and NASA SMA Directives. Additionally, this NPR establishes requirements for NASA Centers, programs, and projects to develop and implement their own internal SMA audit, review, and assessment processes. Verification of compliance with this NPR and effectiveness of this NPR at the Agency level is performed in conjunction with the NASA Internal Controls Program as defined in NPD 1200.1, NASA Internal Control. Implementation of this NPR is subject to periodic audit and assessment by an independent organization. Verification of compliance with this NPR and effectiveness of this NPR at NASA Centers, programs, and projects is based on the results of the SMA audits, reviews, and assessments performed. This includes adequate, timely, and effective response to action items, findings, and corrective action plans, as well as tracking repeat findings and overall trends identified during SMA audits, reviews, and assessments.

## P.6 Cancellation

NPR 8705.6A, Safety and Mission Assurance Audits, Reviews, and Assessments, dated April 9, 2009.

/S/

Bryan O'Connor  
Chief, Safety and Mission Assurance

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